

10/19/01  
J1003 U.S. PTO

**BOX PATENT APPLICATION**  
Attorney Docket No.: 24222-X3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

J1000 U.S. PTO  
09/982093  
10/19/01

In re Application of:

S. Rao CHERUKURI

Serial No. Not yet assigned

Filed: October 19, 2001

For: **DRUG DELIVERY SYSTEMS**

(Continuation-In-Part Application of provisional U.S. Patent Application No. No. 60/308,568 filed July 31, 2001 and Continuation-In-Part Application of U.S. Patent Application No. 09/587,971 filed June 6, 2000)

**TRANSMITTAL LETTER**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

Continuation-In-Part Patent Application consisting of:

- (1) Transmittal Letter;
- (2) Filing Form for CIP U.S. Patent Application;
- (3) CIP Application including:
  - 33 Pages Application Consisting of:
    - 28 Pages of Textual Specification
    - 4 Pages of 24 Claims
    - 1 Page of the Abstract
    - 0 sheets of drawings;
- (4) Preliminary Amendment;
- (5) Unexecuted Declaration;
- (6) Postcard for early notification of serial number.

Respectfully submitted,  
**NATH & ASSOCIATES PLLC**

By: 

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Registration No. 26,965  
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Date: October 19, 2001  
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Washington, D.C. 20005  
GMN/JLM/dd:CIP.trans24222X3

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In re Application of:

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FILING FORM

FOR CONTINUING U.S. PATENT APPLICATION

Commissioner for Patents  
Washington, D.C. 20231

Sir:

1. This is a Request for filing a
- ☐ Continuation Application under:
    - ☐ 37 CFR § 1.53(b)(1)
  - ☐ Divisional Application under:
    - ☐ 37 CFR § 1.53(b)(1)
  - ☒ Continuation-In-Part (CIP) application
    - ☒ 37 CFR § 1.53(b)(2)

of **prior** ("parent") application:

Serial No. 09/587,971

Filed: June 6, 2000

Entitled: **ALTERNATE ENCAPSULATION PROCESS AND PRODUCTS  
PRODUCED THEREFROM**

and

Provisional Serial No. 60/308,568

Filed: July 31, 2001

Entitled: **ENCAPSULATION PRODUCTS**

2. ☒ \*Enclosed for application under 37 CFR §§ 1.53(b) are:
- 33 pages of text (specification, claims, abstract)
  - 24 claims
  - 0 sheets of drawings

3. The **present** application names the following inventor(s):

S. Rao CHERUKURI

☒ Newly executed oath or declaration (original or copy) will be submitted at a later date pursuant to 37 CFR §§ 1.41, 1.53

☐ Copy from a prior application (37 CFR 1.52 (b))

☐ (37 CFR § 1.53--"CIP") The above-identified application (in which no payment of the Issue Fee, abandonment of or termination of proceedings has occurred) is hereby expressly abandoned as of the filing date of this new application.

The **Total Filing Fee** is calculated as follows:

☐ Enter the Amendment filed in the prior patent application on \_\_\_\_\_ prior to calculating the filing fee.

☐ Enter the enclosed Preliminary Amendment prior to calculating the filing fee.

|                              | (Col 1)                |          | (Col 2)                | SMALL ENTITY |     | OR       | NON-SMALL ENTITY |     |
|------------------------------|------------------------|----------|------------------------|--------------|-----|----------|------------------|-----|
|                              | NUMBER OF CLAIMS FILED |          | NUMBER OF EXTRA CLAIMS | RATE         | FEE |          | RATE             | FEE |
| TOTAL                        | 24                     | minus 20 | 4                      | x9=          | 36  |          | x18=             |     |
| INDEP                        | 1                      | minus 3  | 0                      | x42=         | 0   |          | x84=             |     |
| BASE FILING FEE              |                        |          |                        | +370=        | 370 |          | +740=            |     |
| xx Multiple Dependent Claims |                        |          |                        | +140=        | 0   |          | +280=            | 0   |
| TOTAL                        |                        |          |                        |              | 406 | OR TOTAL |                  | 0   |

☒ Applicant(s) is/are a "small entity". A Verified Statement Claiming Small Entity Status:  
☐ was filed in the parent application, and small entity status is still proper and desired ;  
☒ is asserted as allowed under 37 CFR § 1.27.

☐ A check including the above-indicated filing fee is enclosed.